

Semantic of

<<Backtracking>>

(Ongoing work with
S. Hayashi, T. Coquand)

Jouy-en-Josas, France, December 2004

Stefano Berardi, Semantic of Computation group

C.S. Dept., Turin University, <http://www.di.unito.it/~stefano>



Abstract of the talk

- *“Backtracking”* is the possibility, for a given computation, to come back to a previous state and restart from it, forgetting everything that took place after it.
- We introduce a new notion, *“1-backtracking”*. It is a particular case of backtracking in which forgetting is *irreversible*. If we forget a state we can never restore it back.
- We introduce a game theoretical model for 1-backtracking.

Games in Set Theory

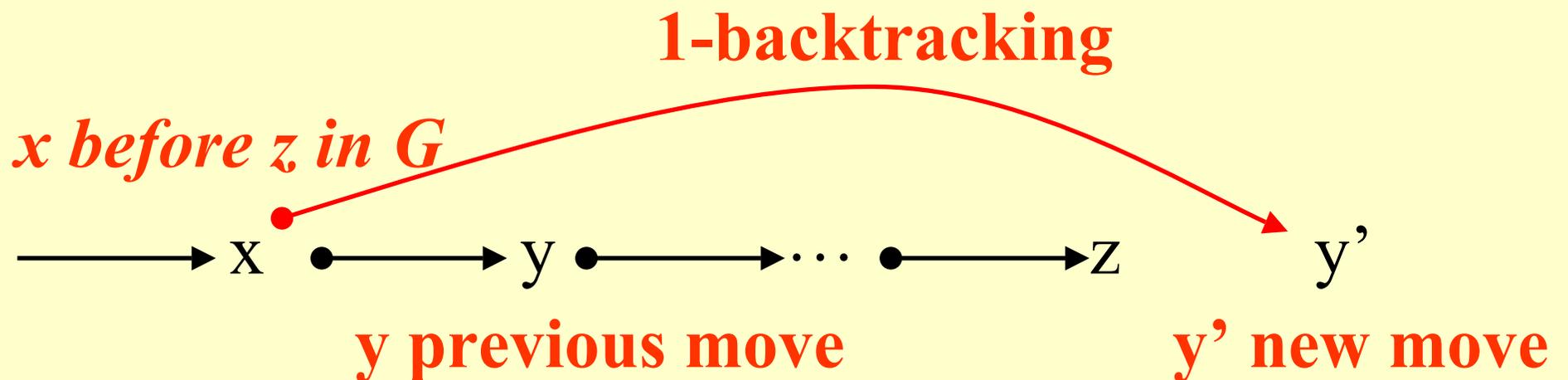
A game $\mathbf{G} = (\mathbf{T}, \mathbf{R}, \mathbf{turn}, \mathbf{W}_{\mathcal{E}}, \mathbf{W}_{\mathcal{A}})$ between two players, \mathcal{E} (Eloise) and \mathcal{A} (Abelard), consists of:

1. a tree \mathbf{T} with a father/child relation \mathbf{R} .
2. A map $\mathbf{turn}: \mathbf{T} \rightarrow \{\mathcal{E}, \mathcal{A}\}$.
3. A partition $(\mathbf{W}_{\mathcal{E}}, \mathbf{W}_{\mathcal{A}})$ of infinite branches of \mathbf{T} .

A play is any finite or infinite branch of \mathbf{T} , starting from the root of \mathbf{T} . In each node x of the branch, the player $\mathbf{turn}(x)$ must select a child of x in \mathbf{T} , otherwise his opponent wins. If a play continues forever, the winner is \mathcal{E} if the play is in $\mathbf{W}_{\mathcal{E}}$, and it is \mathcal{A} if the play is in $\mathbf{W}_{\mathcal{A}}$.

Games with 1-Backtracking

- Given a game G , we define a game $\mathbf{bck}(G)$. In $\mathbf{bck}(G)$, a player can come back from the last position z of the play to some previous position x , *provided x is before z in G* . Then he can replace the move y he did from x by some new move y' .



Infinite backtracking is loosing

- A player is allowed to backtrack to a given position x_i of the play only finitely many times.
- A player backtracking infinitely many times to the same position x_i loses.
- If \mathcal{E} backtracks infinitely many times to some x_i and \mathcal{A} infinitely many times to some x_j , the loser is the player backtracking infinitely many times to a position with smaller index.

Defining $\text{bck}(G)$

- **Positions of $\text{bck}(G)$.** All finite successions $\langle s_0, \dots, s_n \rangle$ over G , such that: **(i)** s_0 initial position of G **(ii)** any s_{i+1} is a child in G of some s_j , with $j \leq i$, *s_j ancestor in G of s_i* , and $\text{turn}(s_j) = \text{turn}(s_i)$.
- For each infinite play $\sigma = \langle s_0, \dots, s_n, \dots \rangle$ of $\text{bck}(G)$ we define a (finite or infinite) play $\sigma^{(1)} = \langle t_0, \dots, t_n, \dots \rangle$ of G by recursion on n :
 1. $t_0 =$ initial position of G .
 2. $t_{n+1} =$ last child of t_n in σ , provided t_n has a last child in σ . Otherwise $\sigma^{(1)}$ ends.

Defining $\text{bck}(G)$

- **Turn.** The player on turn on a position $\langle s_0, \dots, s_n \rangle$ of $\text{bck}(G)$ is the player on turn on s_n .
- **Winner of a infinite play.** The winner of an infinite play σ of $\text{bck}(G)$ is the winner of the (finite or infinite) play $\sigma^{(1)}$ of G associated to it.

1-Backtracking and Limit Computable Mathematic

- Let A be any arithmetical formula in the connectives $\exists, \vee, \forall, \wedge$. Let $G(A)$ be the Tarski game associated to A . Let LCM be Hayashi's Limit Computable Mathematic (or "Arithmetic with incremental learning").
- **Theorem.** A is true in LCM if and only if \mathcal{E} has a recursive winning strategy on $\text{bck}(G(A))$.

*1-backtracking characterizes
the set of formulas we can "learn"*

1-Backtracking and Recursive Degrees

- Let O be any recursive degree, G any game, p any player.
- **Theorem.** p has a winning strategy of degree O' for G if and only if p has a winning strategy of degree O for $\text{bck}(G)$.
- In the definition of a winning strategy:

1-backtracking = 1 quantifier less

1- Backtracking and Excluded Middle

- Let A be any arithmetical formula in the connectives $\exists, \vee, \forall, \wedge$. Let $\mathcal{G}(A)$ be the Tarski game associated to A . Let HA be Intuitionistic Arithmetic. Let 1-EM be Excluded Middle for degree 1 formulas.
- **Theorem.** \mathcal{E} has a winning strategy for $\mathcal{G}(A)$ if, and only if, $HA + \omega\text{-rule} + 1\text{-EM} \vdash A$.

1-backtracking characterizes the set of intuitionistic consequences of 1-EM.

1-Backtracking and (unlimited) Backtracking

- 1-backtracking can be nested, even a transfinite number of times. For each game G and each ordinal α we can define $\text{bck}^\alpha(G)$.
- For any game H *with alternating players and no infinite plays*, T. Coquand defined $\text{Bck}(H)$, the game with *unlimited* backtracking over H .
- **Theorem.** $\text{bck}(H)$ is a subgame of $\text{Bck}(H)$, and $\text{Bck}(H)$ is a quotient of a subgame of $\text{bck}^{\omega_1}(H)$.

*Unlimited backtracking can be
obtained by iterating 1-backtracking.*

References

- **S. Hayashi and M. Nakata**, “*Towards Limit Computable Mathematics*”, Types for Proofs and Programs, LNCS 2277, pp. 125-144, 2001.
- **T. Coquand**, “*A semantics of evidence for classical arithmetic*”, Journal of Symbolic Logic 60, pp. 325-337, 1995.